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ORM PTO-13 REV 10-95)	90 (Modified) U.S. DEPARTMI	ENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER			
	RANSMITTAL LETTE	R TO THE UNITED STATES	2867-0185-2 PCT			
	DESIGNATED/ELEC	TED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR			
		ING UNDER 35 U.S.C. 371	00 / 59 0 57 0			
NITEDNIAT	TIONAL APPLICATION NO.	09/530579				
	PCT/SE98/01975	INTERNATIONAL FILING DATE 30 OCTOBER 1998	PRIORITY DATE CLAIMED 04 NOVEMBER 1997			
TITLE OF I	INVENTION					
RESOUF	RCE OPTIMIZATION FU	NCTION IN A DATA AND TELECOM	IMUNICATIONS SYSTEM			
APPLICAN	T(S) FOR DO/EO/US					
TELIA R	RESEARCH AB					
Applicant l	herewith submits to the United	States Designated/Elected Office (DO/EO/US) the following items and other information:			
i. 🗵	This is a FIRST submission of	of items concerning a filing under 35 U.S.C. 3	71.			
2.		EQUENT submission of items concerning a fi				
3.	· · · · · · · · · · · · · · · · · · ·					
4.			the 19th month from the earliest claimed priority date			
5. ×	A copy of the International A	pplication as filed (35 U.S.C. 371 (c) (2))				
	a. \square is transmitted herew	ith (required only if not transmitted by the Int	ternational Bureau).			
	b. 🛮 has been transmitted	by the International Bureau.				
	c. \square is not required, as th	e application was filed in the United States Re	eceiving Office (RO/US).			
6. □	A translation of the Internation	nal Application into English (35 U S.C. 371(c)(2)).			
7. ×	A copy of the International Search Report (PCT/ISA/210).					
8. 💆 🖾	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))					
	a. are transmitted herev	with (required only if not transmitted by the In	ternational Bureau).			
4"	b. \square have been transmitte	d by the International Bureau.				
	c. have not been made;	however, the time limit for making such ame	ndments has NOT expired.			
	d. 🛛 have not been made	and will not be made.				
9.	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
10.	An oath or declaration of the i	nventor(s) (35 U.S.C. 371 (c)(4)).				
11.	A copy of the International Preliminary Examination Report (PCT/IPEA/409).					
12.	A translation of the annexes to (35 U.S.C. 371 (c)(5)).	the International Preliminary Examination R	eport under PCT Article 36			
		ent(s) or information included:				
13.		atement under 37 CFR 1.97 and 1.98.				
14.		ecording. A separate cover sheet in complian	ce with 37 CFR 3.28 and 3.31 is included.			
[5. ⊠	A FIRST preliminary amendr					
	A SECOND or SUBSEQUEN	NT preliminary amendment.				
[6. ∐	A substitute specification.					
.7.	A change of power of attorney and/or address letter.					
18. □	Certificate of Mailing by Expr	ess Mail				
19. ⊠	Other items or information:					
	Request for Consideration o Notice of Priority PCT/IB/304 PCT/IB/308	f Documents Cited in International Search	Report			

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U.S. APPLICATIO	on no. (if known, see 37 cfr 09 / 5 30 57 9	INTERNATIONAL PCT/	. APPLICAT SE98/019			•	'S DOCKET NUMBER 0185-2 PCT
•	following fees are submitted:.				CALCULATIO	NS PTO USE ONLY	
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⊠ Neither in internatio	nternational preliminary examination nal search fee (37 CFR 1.445(a)(2)	n fee (37 CFR 1.482) paid to USPTO	nor	\$970.0	00		
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		TOTAL FEES	ENCL	OSED	=	\$1,100.00	
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						charged	\$
☐ Please cha	arge my Deposit Account No.	to cover the above in the	fees is enclo	osed.		to cover the abo	ve fees.
A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.							
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OBLON, SPIVA	K, McCLELLAND, MAIER & NI	EUSTADT, P.C.		SIGNATI	RE		
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Page 2 of 2

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

TELIA RESEARCH AB : ATTN: APPLICATION DIVISION

SERIAL NO: NEW U.S. PCT APPLN

(Based on PCT/SE98/01975)

FILED: HEREWITH

FOR: RESOURCE OPTIMIZATION

FUNCTION IN A DATA AND

TELECOMMUNICATIONS SYSTEM

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Prior to a first examination on the merits, please amend the above-identified application as follows:

IN THE SPECIFICATION

Page 1, between prenumbered lines 2 and 5, insert:

--BACKGROUND OF THE INVENTION--;

prenumbered line 15, replace "PRIOR" with --BACKGROUND--.

IN THE CLAIMS

Please amend the claims as follows.

Claim 1, line 3, delete "(101)", same line, delete "(105)";

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line 7, delete "(102)";
line 10, delete "(106)";
line 16, delete "(105)".

Claim 2, line 8, delete "(105)".

Claim 3, line 1, delete "or 2".

Claim 4, line 5, delete "(105)";
line 6, delete "(101)".

Claim 5, delete "some of the previous"; same line, replace "claims" with --claim 1--.

Claim 6, line 4, delete "(105)";
line 5, delete "(103)";
line 6, delete "(102)".

Claim 7, line 1, delete "some of the previous"; same line, replace "claims" with --claim 1--;
line 3, delete "(102)".
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IN THE ABSTRACT

Please delete the original abstract on page 11 in its entirety and insert therefor:

--ABSTRACT

A method at a telecommunications system and a data communications system which adapts resource reservation protocol for fixed networks to radio networks with large variation in bandwidth and quality. At hierarchical coding, a data stream is divided into separate data streams with different priorities. By a resource reservation protocol, then resources in the fixed network for the data streams are reserved. A node in the fixed network throws the data streams according to a predecided priority if the transmission capacity of the node decreases. If the transmission capacity at this node decreases, and the quality requirement of a data stream fails to be kept up, the data stream in question is thrown. After that, the node transmits a message to the nodes where the resource reservations are, towards the transmitter with the following content of: (1) update the resource reservations for the data stream, i.e. keep the resource reservations which are required to transmit the data stream until different is stated.—

REMARKS

Favorable consideration of this application, as presently amended, is respectfully requested.

The present preliminary amendment is submitted to place the above-identified application in more proper format under United States practice. By the present preliminary amendment, the specification has been amended to include all suggested headings. The claims have been amended to no longer recite any multiple dependencies or reference numerals. A new abstract believed to be in more proper format under United States practice is also submitted herein.

The present application is believed to be in condition for a full and thorough examination on the merits. An early and favorable consideration of the present application is hereby respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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TITLE OF THE INVENTION: RESOURCE OPTIMIZATION FUNCTION IN A

DATA AND TELECOMMUNICATIONS SYSTEM

FIELD OF THE INVENTION

The present invention relates to a method at a data and telecommunications system for transmission of data streams between a receiving terminal and a transmitting terminal via at least one fixed network including just any number of nodes and another network consisting of links with large variation in bandwidth and quality, at which a resource reservation protocol reserves resources in said fixed network for said data streams.

PRIOR ART

A computer transmits data over a network to a receiving computer. At hierarchical coding, a data stream (with real time requirements, i.e. demands on controlled delay) is divided into separate data streams with different priorities. The data streams have different demands on quality. By a resource reservation protocol, resources then are reserved in the network for the data streams. Separate reservations are made for each data stream in all nodes from the receiver to the transmitter. At hierarchical coding, the node throws data streams according to a predefined priority if the transmission capacity of the node has decreased. Since the data streams have real time demands, data will not be buffered.

When hierarchical coding is used over a radio channel with large variation in bandwidth and quality, the number of data streams which can be transmitted over the radio channel will vary rapidly. The radio channel is the transmission link which in most cases will set a limit to the number of data streams that can be transmitted to the receiver. The data streams that are stopped at the node closest to the radio channel are still transmitted in the

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fixed network and therefore load the fixed network without due cause. At the same time, the receiver wants to keep its reservations in the network also during the time when some data streams are stopped, because the reservation may not be possible to retrieve if it is deleted. At unicast traffic, i.e. one receiver of data streams and separate resource reservations for each receiver, it is possible to signal to the transmitter to stop the transmission of a data stream. At multicast traffic, i.e. a plurality of receivers of the same data streams, resources are reserved in common in nodes with data streams in common. For that reason the transmitter cannot stop the transmission of a data stream since all other receivers then should be affected.

The aim of the present invention consequently is to solve this problem and provide multicast traffic without loading the fixed network without due cause.

SUMMARY OF THE INVENTION

This aim is achieved by a method at a data and telecommunications system for transmission of data streams between a receiving terminal and a transmitting terminal via at least one fixed network including just any number of nodes and another network consisting of links with large variation in bandwidth and quality, where a resource reservation protocol reserves resources in said fixed network for said data streams, at which said protocol attends to that if the transmission capacity of a node decreases and falls below the quality requirements of a specific data stream, said specific data stream is thrown, whereupon said node transmits a message which is executed in all nodes in said fixed network where resource reservations are provided towards said transmitting terminal, which message includes the steps of:

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- updating said resource reservation for said specific data stream;
- utilizing said resource reservation temporarily for other traffic;
- throwing said specific data stream until different is stated.

The invention shows a plurality of advantages in comparison with known technology. For instance, the fixed network will, with this resource optimization function, have a considerable capacity improvement, i. e. the network will not be loaded by data which in any case is thrown at the node of lacking capacity.

The receiver will not lose its resource reservations during the time a data stream is stopped, which can happen if the receiver has to make new resource reservations each time the number of data streams is changed.

Resources which in other cases would not be utilized during the time a data stream is momentarily stopped, now can be utilized.

At multicast traffic, data will be thrown in a node as close to the transmitter as possible, without other receivers of the multicast traffic being affected.

Further characteristics of the present invention are given in the sub-claims.

BRIEF DESCRIPTION OF THE DRAWINGS

In the following a detailed description of an embodiment of the invention is given, with reference to the enclosed drawings, of which:

Figure 1 is a diagrammatical presentation of the tele and data communications system according to the invention;

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Figure 2 is a diagrammatical presentation of a graph related to hierarchical coding according to the present invention.

DETAILED DESCRIPTION OF AN EMBODIMENT OF THE INVENTION

Figure 1 shows a mobile computer, 101, connected to a fixed network, 102, consisting of nodes (N) via a radio channel with varying quality. The dashed arrow shows data streams which are transmitted to the mobile node 101. The bold arrow shows data streams which are in common for all receivers 101 and 103.

The mobile computer 101 can receive unicast traffic and multicast traffic. At unicast traffic, the nodes deal with the resource reservations of the data streams separately. At multicast traffic, the nodes deal with the resource reservations in common when the data streams are in common for all receivers 101 and 103. In Figure 1, the node 104, closest to the receiver 105, deals with the resource reservations in common.

The invention is primarily intended for the functionality in the node 106 at the interface towards the radio network, and in the nodes 104 and 107 which the data streams pass on the path from the transmitter 105, i.e. the computer, to the receiver 101, i.e. the mobile computer.

The functionality adapts resource reservation protocols created for fixed networks 102 to networks consisting of links with larger variations in bandwidth and quality, preferably radio networks. Previously known technology does not deal with resource optimization at resource reservations and hierarchical coding over links with varying quality.

Theoretically, the resource optimization function solves the network utilization problem at hierarchical coding, both for unicast traffic and multicast traffic.

If the transmission capacity at a node (in most cases the node 106 at the radio channel), see Figure 1,

decreases, and the quality requirement of a data stream is no longer maintained, then the data stream in question will be thrown. After that the node transmits a message to the nodes (where the resource reservations are) towards the transmitter 105 (the computer in Figure 1) with the following content:

- Update the resource reservation for the data stream, i.e. keep the resourse reservations that are required to transmit the data stream.
 - Use the reserved resource temporarily for other traffic.
 - Throw the data stream until different is stated.
- If the transmission capacity in the node increases, and the quality requirement for a data stream is fulfilled, the data stream shall be transmitted again. The node transmits a message to the nodes (where the resource reservations are) towards the transmitter 105 with the following content:
 - Update the resource reservation for the data stream, i.e. keep the resource reservations that are required for transmission of the data stream.
- Use the reserved resource for the intended data stream.

Both unicast and multicast traffic here are dealt with, with the same signalling message. At multicast traffic, resource reservations which are in common for a plurality of receivers 101 and 103 will not be affected.

Figure 2 shows the internal priority of the data streams, where the data stream 1 has the highest priority and is not limited in time by bandwidth functions.

Data streams 3 are strongly limited in time by the bandwidth function. The data streams consequently are

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hierarchically coded, where data stream 1 is highest in the hierarchy.

In the following an example is given of a conceived scenario:

Mobile computer 101 receives data with real time demands (controlled delay) from a transmitting computer 105 (Figure 1).

The mobile computer 101 selects to receive the data stream in a plurality of data streams with different priorities (Figure 2).

In each node resources are reserved separately for each data stream.

The node 106 closest to the radio channel receives momentarily information about which transmission capacity that is available over the radio channel. The bandwidth decreases, and the node 106 closest to the radio channel is forced to throw the lowest prioritized data stream (Figure 2).

In order not to overload the network 102 with data which in any case shall be thrown at the node 106, at the radio channel, a message is transmitted to the transmitter 105 (the computer) that it shall stop the transmission of the data stream of the lowest

priority. The message which is transmitted to the transmitter 105 also contains the following information which is executed in all nodes 107 and 104 on the path to the transmitter 105:

- Update the resource reservation for the data stream, i.e. keep the resourse reservations that are required to transmit the data stream.
 - Use the reserved resource temporarily for other traffic.
- Throw the data stream until different is stated.

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Both unicast and multicast traffic here are dealt with, with the same signalling message. In the cases when the resources reservation is in common, all subjacent nodes must require that certain data streams be stopped for this request being forwarded in the common reservation. Consequently the data streams will not always be thrown in the nodes 104 where the resource reservation is in common.

The bandwidth will increase and the node 106 closest to the radio channel decides that the data stream of the lowest priority again can be received.

A message is transmitted to the transmitter 105 that the data stream of the lowest priority shall be transmitted.

The message which is transmitted to the transmitter 105 contains the following information which is executed in all nodes 107 and 104 on the path to the transmitter 105.

- Update the resource reservation for the data stream, i.e. keep the resourse reservations which are required to transmit the data stream.
- Use the reserved resource for the intended data stream.

The above mentioned is only to be regarded as an advantageous embodiment of the present invention, and the extent of protection is only defined by what is indicated in the following patent claims.

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PATENT CLAIMS

1. Method at a data and telecoomuncations system for transmission of data streams between a receiving terminal (101) and a transmitting terminal (105) via at least one fixed network (102) including just any number of nodes and another network consisting of links with large variation in bandwidth and quality, at which a resource reservation protocol reserves resources in said fixed network (102) for said data streams, characterized in that said protocol attends to that, if the transmission capacity of a node, preferably the node (106) closest to said other network, decreases, and the quality requirement of a specific data stream fails to be kept up, said specific data stream is thrown, whereupon said node by means of said protocol transmits a message which is executed in other nodes where said resource reservations are, to said transmitting terminal (105), which message includes the steps of:

- updating said resource reservation for said specific data stream;
- utilizing said resource reservation temporarily for other traffic;
- throwing said specific data stream until different is stated.
- 2. Method according to patent claim 1,
- characterized in that if the transmission capacity in said node increases and the quality requirement for said specific data stream is fulfilled, said specific data stream shall be transmitted again, at which said node by means of said protocol transmits a message to said second nodes, where said resource reservations are, towards

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said transmitting terminal (105), which message includes the steps of:

- updating the resource reservation for said specific data stream;
- using said resource reservation for said specific data stream.
- 3. Method according to patent claim 1 or 2, c h a r a c t e r i z e d in that said other network is a radio network including at least one radio channel.
 - 4. Method according to patent claim 3, c h a r a c t e r i z e d in that said node constitutes an
- interface towards said radio channel, at which said radio channel sets the limit regarding how many data streams that can be transmitted from said transmitting terminal (105) to said receiving terminal (101).
 - 5. Method according to some of the previous patent claims, c h a r a c t e r i z e d in that it is utilized at hierarchical coding of said data streams.
- 6. Method according to patent claim 1,
 c h a r a c t e r i z e d in that, at multicast traffic,
 said specific data stream in said other node as close to
 said transmitting terminal (105) as possible, is thrown
 without other receiving terminals (103) of the multicast
 traffic being affected, whereby said fixed network (102) is
- not loaded by said specific data stream, which in any case is thrown at said node lacking capacity.
- 7. Method according to some of the previous patent claims, 35 characterized in that said node in said fixed network (102) which constitutes radio interface towards

said radio channel receives momentary information about which transmission capacity that is available on said radio channel, at which said node by means of said protocol reserves resources in said fixed network regarding the transmission capacity of said radio channel.

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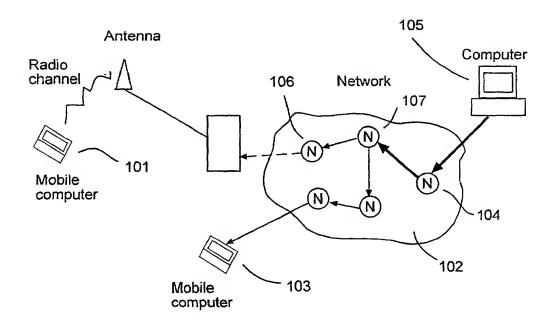


Figure 1

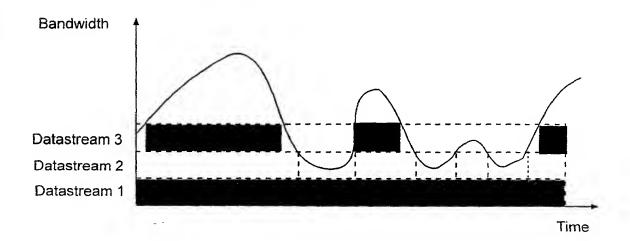


Figure 2

Declaration, Power Of Attorney and Petition

Page 1 of 3

WE (I) the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ecification	of which	
	is attached hereto.	
XX	was filed on 04 May 2000	_ as
	Application Serial No. 09/530,579	
	and amended on	·
¥ 27	was filed as PCT international application	
N	umber PCT/SE98/01975	
on	30 October 1998	
	d was amended under PCT Article 19	••••
on	(if applical	ole).

- We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.
- We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed		
9704020-8	SWEDEN	04 November 1997	₽¥Yes	□ No	
			☐ Yes	□ No	
			☐ Yes	□ No	
			☐ Yes	□ No	

1/96

20 July 2001

Date

•					Page 2 of 3 Declaration
We (I) hereby claim the benefit us application(s) listed below.	nder Title 35, United	States Code	e, § 119(e) of	any United S	States provisional
(Application	n Number)	(Filing Date)			
(Application Number)		(.	Filing Date)		
We (I) hereby claim the benefit up PCT International application design each of the claims of this application in the manner provided by the fir information which is material to pate filing date of the prior application an	nating the United Staris not disclosed in the start paragraph of 35 centability as defined in	tes, listed be prior Unite U.S.C. § 1 n 37 CFR §	elow and, in ed States or I 12, I ackno 1.56 which	sofar as the socT Internat wledge the obscame avails	subject matter of ional application duty to disclose able between the
Application Serial No.	Filing Date	Filing Date		Status (pending, pate: abandoned)	
PCT/SE98/01975	30 October 1	.998			
And we (I) hereby appoint: Normal McClelland, Reg. No. 21,124; Gregor D. Kelly, Reg. No. 27,757; James D. F. T. Pous, Reg. No. 29,099; Charles L. C. Lavalleye, Reg. No. 31,451; Stephen C. Weihrouch, Reg. No. 32,829; John T. E. Lipman, Reg. No. 30,011; Carl E. Neifeld, Reg. No. 35,299; J. Derek M. Gadiano, Reg. No. 37,628; Jeffrey B. I. McCabe, Jr., Reg. No. 37,182; Bradle (my) attorneys, with full powers of subusiness in the Patent Office connecte this application be sent to the firm of whose Post Office Address is: Fourth We (I) declare that all statements made on information and belief are be knowledge that willful false statement ander Section 1001 of Title 18 of the the validity of the application or any	y J. Maier, Reg. No. 28 Hamilton, Reg. No. 28 Hamilton, Reg. No. 26,39 G. Baxter, Reg. No. 32 Goolkasian, Reg. No. 34,4 Hason, Reg. No. 35,2 McIntyre, Reg. No. 36 y D. Lytle, Reg. No. 36 bstitution and revoca ed therewith; and we of OBLON, SPIVAK in Floor, 1755 Jefferson hade herein of our (melieved to be true; and is and the like so mad United States Code as	25,599; Arth 3,421; Eckha 25; William ,884; Richa , 26,142; Rid 426; James J 70; Surinde 6,867; Willide 40,073; and tion, to produce (I) hereby really and the produce many) own known that the produce of th	nur I. Neusta and H. Kuesta E. Beaumon rd L. Treano ichard L. Ch J. Kulbaski, Jr Sachar, Ream T. Enos, Michael R. esecute this a equest that a LAND, MAghway, Arlinowledge are nat these stathable by fine	dt, Reg. No. ers, Reg. No. 3, r, Reg. No. 3, inn, Reg. No. 34, g. No. 34,42 Reg. No. 33, Casey, Reg. I pplication and ll corresponding true and that ements were or imprison	24,854; Richard 28,870; Robert 0,996; Jean-Paul 6,379; Steven P. 34,305; Steven 648; Richard A. 3; Christina M. 128; Michael E. No. 40,294; our d to transact all dence regarding ISTADT, P.C., iia 22202. t all statements made with the ment, or both,
Jonas MALMKVIST NAME OF FIRST SOLE INVENTO	F DR			n 6, bv, s	S-142 62
Janus Mahmbu, 74 Signature of Inventor			SWEDE:	N SAME AS A	ABOVE

Ctofor CONDELL	
NAME OF SIXTH JOINT INVENTOR	Residence: Skorpionens gata 529, 6, S-136 61 Haninge, SWEDEN SEX
Stefan Sandell Signature of Inventor	Citizen of: SWEDEN Post Office Address: SAME AS ABOVE
9 August 2000 Date	
NAME OF SEVENTH JOINT INVENTOR	Residence:
Signature of Inventor	Citizen of: Post Office Address:
Date	
NAME OF EIGHTH JOINT INVENTOR	Residence:
Signature of Inventor	Citizen of: Post Office Address:
Date	
NAME OF NINTH JOINT INVENTOR	Residence:
	Citizen of:

Date